

Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No. UNIV0001D4 Applicant: Donoho et al. Filing Date Herewith	Serial No.: Not Assigned Group Not Assigned
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JC135 U.S. TO
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Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
<i>JNC</i>	A	"Maximum Security, a Hacker's Guide to Protecting Your Internet Site and Network", Anonymous, Sams.net Publishing, (1997)
<i>JNC</i>	B	"Network Security: How to Plan for It and Achieve It", Richard H. Baker, McGraw-Hill, Inc. (1995)
<i>JNC</i>	C	"Mobile Code Security", Dr. Laurie Brown, http://www.adfa.oz.au , (1999)
<i>JNC</i>	D	"E-Mail with a Mind of Its Own: The Safe-Tcl Language for Enabled Mail", Nathaniel S. Borenstein, Bellcore - for ULPAA '94, Barcelona
<i>JNC</i>	E	"MIME: Mechanisms for Specifying and Describing the Format of Internet Message Bodies", N. Borenstein and N. Freed, Network Working Group (Sept. 1993)
<i>JNC</i>	F	"Agent Sourcebook", Caglayan and Harrison, Wiley Computer Publishing, (1997)
<i>JNC</i>	G	"Channel Definition Format" Ellerman, Microsoft Corp. (1997)
<i>JNC</i>	H	"Notification for Proxy Caches", Hallam-Baker, W3C Working Draft-Proxy 960221 (
<i>JNC</i>	I	"Controlled Information Sharing in a Distributed Ecology of Cooperating Agents or How to Gossip Without Spilling the Beans", L. Foner, , Fifth Conference on Computers, Freedom and Privacy (1995)
<i>JNC</i>	J	"Introducing SSL and Certificates", F. Hirsch, www.celocom.com/faq/sslandcerts.html (1997)
<i>JNC</i>	K	"Digital Signature Initiative", A. Kotok, www.w3c.org/dsig/ , (1998)
<i>JNC</i>	L	"Rating Services and Rating Systems (and their Machine Readable Descriptions)", J. Miller et al., www.w3.org/TR/REC-PICS-services-961031
<i>JNC</i>	M	"Java Security", S. Oaks, O'Reilly & Associates, (1998)
<i>JNC</i>	N	"Security in Computing", C. Pfleeger, http://www.prenhall.com (1997)
<i>JNC</i>	O	"Pretty Good Privacy- PGP for Personal Privacy, Version 5.0," PGP User's Guide
<i>JNC</i>	P	"Privacy and Profiling on the Web", www.w3.org/TR/NOTE-Web-privacy.html , (1997)
<i>JNC</i>	Q	"Lightweight Directory Access Protocol", W. Yeong et al., www.umich.edu/dirsvcs/ldap/doc/rfc1777/txt (1995)

Examiner	<i>Patricia A. Cawley</i>	Date Considered	<i>3/19/04</i>
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U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
TRC	A	4,935,870		Burk et al.	—	—	
TRC	B	4,517,468	5/14/85	Kemper et al.	290	52	4/30/84
TRC	C	4,654,852	3/31/87	Bentley et al.	371	29	5/15/84
TRC	D	4,823,343	4/18/89	Takahashi	371	16	3/7/88
TRC	E	5,063,523	1/5/91	Vrenjak	364	514	11/16/89
JNL	F	5,115,501	5/19/92	Kerr	395	600	11/4/88
TRC	G	5,155,847	10/13/92	Kirouac et al.	395	600	8/3/88
TRC	H	5,179,695	1/12/93	Derr et al.	395	575	9/4/90
TRC	I	5,245,532	9/14/93	Mourier	364	400	6/12/89
TRC	J	5,245,656	9/14/93	Loeb et al.	380	23	9/9/92
TRC	K	5,247,683	9/21/93	Holmes et al.	395	700	6/19/91
TRC	L	5,287,505	2/15/94	Calvert et al.	395	600	2/16/93
JNL	M	5,349,674	9/20/94	Calvert et al.	395	800	8/17/90
JNL	N	5,379,409	1/3/95	Ishikawa	395	575	3/27/91
JNL	O	5,381,403	1/10/95	Maher et al.	370	13	4/2/93
JNL	P	5,388,252	2/7/95	Dreste et al.	395	575	9/7/90
JNL	Q	5,428,778	1/27/95	Brookes	395	600	9/13/94
JNL	R	5,446,919	8/29/95	Wilkins	455	62	10/9/91
JNL	S	5,475,625	12/12/95	Glaschick	395	600	11/17/94
JNL	T	5,491,791	2/13/96	Glowny et al.	395	183.13	1/13/95
JNL	U	5,493,692	2/20/96	Theimer et al.	455	26.1	12/3/93
JNL	V	5,515,098	5/7/96	Carles	348	8	9/8/94
JNL	W	5,534,911	7/9/96	Levitian	348	1	11/2/94
JNL	X	5,535,321	7/9/96	Massaro et al.	395	155	2/14/91
JNL	Y	5,566,339	10/15/96	Perholtz et al.	395	750	10/23/92
JNL	Z	5,576,952	11/19/96	Stutman et al.	346	413.02	3/9/93
JNL	AA	5,600,781	2/4/97	Root et al.	395	826	5/21/96
JNL	BB	5,603,031	2/11/97	White et al.	395	683	7/8/93
JNL	CC	5,603,054	2/11/97	Theimer et al.	395	826	7/7/95
JNL	DD	5,611,050	5/11/97	Theimer et al.	395	200.09	7/7/95
JNL	EE	5,613,002	3/18/97	Kephart et al.	380	4	11/21/94
JNL	FF	5,614,927	3/25/97	Gifford et al.	395	812	6/12/95

Examiner <i>on A. Cuk</i>	Date Considered <i>3/19/09</i>
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Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
DR	GG	5,619,648	4/8/97	Canale et al.	395	200-01	11/30/94
JDC	HH	5,623,656	4/22/97	Lyons	395	610	12/15/94
JDC	II	5,627,764	5/6/97	Schutzman et al.	364	514	6/9/93
JDC	JJ	5,636,346	6/3/97	Saxe	395	201	5/9/94
JDC	KK	5,649,186	7/15/97	Ferguson	395	610	8/7/95
JDC	LL	5,678,002	10/14/97	Fawcett et al.	395	183-01	7/18/95
DR	MM	5,691,917	11/25/97	Harrison	364	514	5/5/95
JDC	NN	5,694,546	12/2/97	Reisman	395	200.9	5/31/94
JDC	OO	5,696,965	12/9/97	Dedrick	395	610	11/3/94
JDC	PP	5,710,884	1/20/98	Dedrick	395	200.47	3/29/95
JDC	QQ	5,717,923	2/10/98	Dedrick	395	613	11/3/94
JDC	RR	5,722,418	3/3/98	Bro	128	732	9/30/94
DR	SS	5,724,521	3/3/98	Dedrick	395	226	11/3/94
JDC	TT	5,724,567	3/3/98	Rose et al.	395	602	4/25/94
JDC	UU	5,732,212	3/24/98	Perholtz et al.	395	200.11	1/13/94
JDC	VV	5,740,549	4/14/98	Reilly et al.	705	14	6/12/95
JDC	WW	5,745,669	4/28/98	Hugard et al.	395	182.01	6/21/96
JDC	XX	5,748,877	5/5/98	Dollahite et al.	395	183.12	5/5/98
JDC	YY	5,754,938	5/19/98	Herz et al.	455	4.2	10/31/95
JDC	ZZ	5,754,939	5/19/98	Herz et al.	455	4.2	10/31/95
JDC	aa	5,758,257	5/26/98	Herz et al.	455	2	1/29/94
JDC	bb	5,764,898	6/9/98	Tsuuji et al.	395	200.36	9/1/92
JDC	cc	5,768,508	7/16/98	Eikeland	395	200.32	4/11/97
JDC	dd	5,774,170	6/30/98	Hite et al.	348	9	12/13/94
JDC	ee	5,778,187	7/7/98	Monteiro et al.	395	200.61	5/9/96
JDC	ff	5,790,798	8/4/98	Beckett, II et al.	395	200.54	5/31/96
JDC	gg	5,802,253	9/1/98	Gross et al.	395	51	2/26/96
JDC	hh	5,802,518	9/1/98	Karaev et al.	707	9	6/4/96
JDC	ii	5,805,897	9/8/98	Glowny	395	712	1/9/95
JDC	jj	5,828,837	10/27/98	Eikeland	395	200.82	4/15/96
JDC	kk	5,835,087	11/10/98	Herz et al.	345	327	10/31/95
JDC	ll	5,848,271	12/8/98	Caruso et al.	395	680	3/14/94
JDC	mm	5,848,396	12/8/98	Gerace	705	10	4/26/96
JDC	nn	5,850,520	12/15/98	Griebenow et al.	395	200.36	395
JDC	oo	5,854,828	12/29/98	Kocis et al.	379	93.31	8/20/96

Examiner *Dr. G. Cahn* Date Considered *3/19/99*

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<i>pp</i>	pp	5,855,018	12/29/98	Chor et al.	707	9	10/18/96
<i>qq</i>	qq	5,862,322	1/19/99	Anglin et al.	395	185.1	2/15/96
<i>rr</i>	rr	5,867,714	2/2/99	Todd et al.	395	712	10/31/96
<i>ss</i>	ss	5,867,799	2/2/99	Lang et al.	707	1	4/4/96
<i>tt</i>	tt	5,870,724	2/9/99	Lawlor et al.	705	42	6/6/95

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No

Examiner

Prn R. Col

Date Considered

3/19/04

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